PTO/SB/21 (08-00) eașe type a plus sign (+) inside this box -> 🛨 Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/633,630 TRANSMITTAL Filing Date August 5, 2003 **FORM** Klaus GIESE et al. **First Named Inventor** (to be used for all correspondence after initial filing) Group Art Unit 1645 **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 39078-0005 ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form SB/08A (w/19 references) Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. Np. 40,244, Customer No. 26633 Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 39078-0005

pplicant:

Klaus GIESE et al.

Confirmation No. 6369

Appl. No.:

10/633,630

Examiner: Unassigned

Filing Date:

August 5, 2003

Art Unit: 1645

Title:

INTERFERING RNA MOLECULES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

RELEVANCE STATEMENT

The relevance of the documents are described in the present specification.

TIMING/FEE

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) prior to the mailing date of the first official action, therefore, no fee is required in connection with its filing. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Date: MM Lywy

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Respectfully submitted,

By

Paul M. Booth Attorney for Applicant Registration No. 40,244 Please type a plus sign (+) inside this box

+> PTO/SB/08A (08 00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/633,630

Filing Date August 5, 2003

First Named Inventor Klaus GIESE et al.

Group Art Unit 1645

Examiner Name Unassigned

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Sheet 1 of 2 Attorney Docket Number 39078-0005

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	A01	ROBERT BARSTEAD, "Genome-wide RNAi", Current Opinion in Chemical Biology, 2001, pp. 63-66, Vol. 5					
	A02	DAVID C. BAULCOMBE, "Fast forward genetics based on virus-induced gene silencing", Current Opinion in Plant Biology, 1999, pp. 109-113, Vol. 2					
	A03	EMILY BERNSTEIN et al., "Role for a bidentate ribonuclease in the initiation step of RNA interference", Nature, January 18, 2001, p. 363-366, Vol. 409					
	A04	ERIC BILLY et al., "Specific Interference with Gene Expression Induced by Long, Double-Stranded RNA in Mouse Embryonal Teratocarcinoma Cell Lines", Proceedings of the National Academy of Sciences of the United States of America, Dec. 4, 2001, pp. 14428-14433, Vol. 98, No. 25					
	A05	CHRISTIAN CAZENAVE et al., "Rate of degradation of $[a]$ - and $[\beta]$ - oligodeoxynucleotides in Xenopus oocytes. Implications for anti-messenger strategies", Nucleic Acids Research, 1987, pp. 10507-10521, Vol. 15, No. 24					
	A06	SAYDA M. ELBASHIR et al., "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells", Nature, May 24, 2001, pp 494-498, Vol. 411					
	A07	ANDREW FIRE, "RNA-triggered gene silencing", TIG, September 1999, pp. 358-363, Vol. 15, No. 9					
	A08	JASON R. KENNERDELL et al., "Heritable gene silencing in Drosophila using double- stranded RNA", Nature Biotechnology, July 2000, pp. 896-898, Vol. 17					
	A09	JASON R. KENNERDELL et al., "Use of dsRNA-Mediated Genetic Interference to Demonstrate that frizzled and frizzled 2 Act in the Wingless Pathway", Cell, December 23, 1998, pp. 1017-1026, Vol. 95	_				
	A10	STUART K. KIM, "Functional genomics: The worm scores a knockout", Current Biology, 2001, pp. R85-R87, Vol. 11					
	A11	IKUMA MAEDA et al., "Large-scale analysis of gene function in Caenorhabditis elegans by high-throughput RNAi", Current Biology, 2001, pp. 171-176, Vol. 11	·				
	A12	MARY K. MONTGOMERY et al., "RNA as a Target of Double-Stranded RNA-Mediated Genetic Interference in Caenorhabditis elegans", Proceedings of the National Academy of Sciences of the United States of America, Dec. 22, 1998, pp. 15502-15507, Vol. 95, No. 26					
	A13	ANTTI NYKÄNEN et al., "ATP Requirements and Small Interfering RNA Structure in the RNA Interference Pathway", Cell, November 2, 2001, pp. 309-321, Vol. 107					
	A14	PHILLIP A. SHARP, "RNA interference-2001", Genes & Development, 2001, pp. 485-490, Vol. 15					

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STATEMENT BY APPLICANT

Complete if Known

Application Number 10/633,630

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Group Art Unit 1645

Examiner Name Unassigned

Attorney Docket Number 39078-0005

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Sheet 2 of 2

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